



ITLV-00107

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application:

Robert Olan Keith, Jr.

Serial No.: 09/800,566

Filed: March 6, 2001

For: **METHOD AND DATA FOR
ACCESSING DATA WITHIN AN
ELECTRONIC SYSTEM BY AN
EXTERNAL SYSTEM**

**REQUEST FOR REFUND (IMPROPER
CHARGE OF DEPOSIT ACCOUNT)**

162 North Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Customer No. 28960

Attn: Refund Section, Accounting Division
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated June 13, 2007, for the above-identified patent application.

A Supplemental Information Disclosure Statement was filed on May 2, 2007, (see copy of transmittal letter, certification for supplemental information disclosure statement and stamped received postcard). Deposit Account 08-1275 was debited \$180.00 on June 13, 2007. However, a certification was filed within the three month statutory time period. Therefore, no supplemental information disclosure statement fee should have been debited from the deposit account.

Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request is enclosed for this purpose.

Respectfully submitted
HAVERSTOCK & OWENS LLP

Dated: November 13, 2007

By: Jonathan o. Owens
Jonathan o. Owens
Registration No.: 37,902
Attorney for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 11/13/07 By: m7 cerna

Sale List

Search by

☐ Accounting Date: Operator ID: Sequence No.:

(MMDDYY)

Accounting Date
Start: End:



☒ Name/Number: 09800566

☐ Attny Docket No:

r	Seq No.	Sts	Name/Number	Attorney Docket	Dep Act Charge	Other Payment	M
2	108	A	09800566	ABREAU-00107		\$1,030.00	07/
S	108	A	09800566	ABREAU-00107	\$180.00		05/
	133	A	09800566	ABREAU-00107		\$395.00	10/
	21	A	09800566	ABREAU-00107		\$250.00	08/
	10	A	09800566	ABREAU-00107		\$65.00	03/
	31	A	09800566	ABREAU-00107		\$250.00	10/
	11	A	09800566	ABREAU-00107		\$250.00	08/
	96	A	09800566	ABREAU-00107		\$395.00	12/
	117	A	09800566	ABREAU-00107		\$385.00	01/
	150	A	09800566	ABREAU-00107		\$180.00	01/
T	84	A	09800566	ABREAU-00107		\$55.00	08/
	22	A	09800566	ABREAU-00107		\$623.00	03/



III



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Adjustment Date: 12/18/2007 HGERREH1
06/13/2007 RCLENDNS 00000010 081275 09800566
01 FC:1806 180.00 CR



**United States
Patent and
Trademark Office**



Deposit Account Statement

Requested Statement Month: June 2007
 Deposit Account Number: 081275
 Name: HAVERSTOCK & OWENS LLP
 Attention: DANIELLE DALTON
 Street Address 1: 162 NORTH WOLFE ROAD
 Street Address 2:
 City: SUNNYVALE
 State: CA
 Zip: 94086
 Country: UNITED STATES

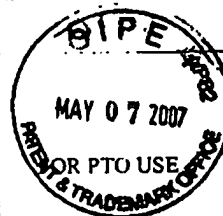
DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
06/07	39	09801072	ABREAU-00101	1806	\$180.00	\$3,399.00
06/08	6443	E-REPLENISHMENT		9203	-\$1,500.00	\$4,899.00
06/12	251	76621151	JAG-01000	700	\$150.00	\$4,749.00
06/13	788	78627192	EGW-00200	700	\$150.00	\$4,599.00
06/13	3	PCT/US07/08840	COOL-05201WO	1602	-\$700.00	\$5,299.00
06/13	10	09800566	ABREAU-00107	1806	\$180.00	\$5,119.00
06/15	29	PCT/US07/10956	COOL-05400WO	8007	-\$40.00	\$5,159.00
06/15	30	PCT/US07/10956	COOL-05400WO	8007	\$20.00	\$5,139.00
06/21	2466	76613178	AIRP-00400	7004	\$150.00	\$4,989.00
06/22	54	10881776	WAGIC-01003	9204	-\$100.00	\$5,089.00
06/26	20	09903423	AVARS-02200	1806	\$180.00	\$4,909.00
06/27	10701	76585561	WAGIC-02300	7004	\$150.00	\$4,759.00
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$3,579.00	\$1,160.00	\$2,340.00	\$4,759.00	



COPY

Serial No.: 09800,566 H&O File No.: ITLV-00107 By: JOC
In the Matter of The Application of: Robert U. Kelly Jr.
Date Mailed: 5/2/07 Due Date: 5/6/07
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | | |
|--|--|---|
| <input type="checkbox"/> Design Patent Application _____ Pages | <input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts) | <input checked="" type="checkbox"/> Transmittal Letter <input type="checkbox"/> Duplicate |
| <input type="checkbox"/> Utility Patent Application _____ Pages | <input type="checkbox"/> Form PTOL-85B (Issue Fee Trans.) & dup. | <input checked="" type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> Prov. Patent Application _____ Pages | <input type="checkbox"/> Form PTO-1050 (Cert. of Correction) | <input type="checkbox"/> Certificate of Express Mailing |
| <input type="checkbox"/> Declaration/Oath | <input type="checkbox"/> Maintenance Fee Transmittal Form | Letter fee _____ |
| <input type="checkbox"/> Power of Attorney _____ | <input type="checkbox"/> Reexamination Request | <input type="checkbox"/> Check(s) \$ _____ |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Notice of Appeal | <input checked="" type="checkbox"/> Small Entity Statement Form |
| <input type="checkbox"/> Form PTO-1594/1595 | <input type="checkbox"/> Affidavit/Declaration | |
| <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Copy of Filing Receipt | |
| <input type="checkbox"/> Drawings: _____ Sheets(s) | <input type="checkbox"/> Req. for Corr. of: _____ | |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Request for Non-Publication | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Rescission of Request for Non-Publication | |
| <input checked="" type="checkbox"/> Form PTO-1449 and Copies of _____ | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> References Contained Thereon | <input type="checkbox"/> _____ | |
| <input checked="" type="checkbox"/> Information Disclosure Certification | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Amendment/Response | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Extension of Time Request: _____ Months(s) | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Petition | <input type="checkbox"/> _____ | |





Attorney Docket No.: PATENT
ITLV-00107

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert Olan Keith, Jr.

Serial No.: 09/800,566

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR
ACCESSING DATA WITHIN AN
ELECTRONIC SYSTEM BY AN
EXTERNAL SYSTEM**

) Group Art Unit: 2161

) Examiner: Nguyen, Cam Linh T.

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COPY

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: May 2, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 5-2-07 By: May Bures



Attorney Docket No.: PATENT
ITLV-00107

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In re Application of:

Robert Olan Keith, Jr.

Serial No.: 09/800,566

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR
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Group Art Unit: 2161

Examiner: Nguyen, Cam Linh T.

TRANSMITTAL LETTER

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Sir:

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Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: May 2, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

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HAVERSTOCK & OWENS LLP.

Date: 5-2-07 By: Mary Jones



PATENT
Attorney Docket No.: ITLV-00107

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert Olan Keith, Jr.

Serial No.: 09/800,566

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR
ACCESSING DATA WITHIN AN
ELECTRONIC SYSTEM BY AN
EXTERNAL SYSTEM**

) Group Art Unit: 2161

) Examiner: Nguyen, Cam Linh T.

) **CERTIFICATION FOR**
) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT UNDER 37**
) **CFR § 1.97(e)**

) 162 North Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No.: 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COPY

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement was cited within an Official Action mailed on February 6, 2007. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement.
37 C.F.R. 1.97(e)(2)

CERTIFICATE OF MAILING (37 C.F.R. 1.97(e))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 5-2-07 Mary S. Owens

Attorney Docket No.: PATENT
ITLV-00107

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: May 2, 2007

By:

Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant